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i	Application Number	10/705,989
TRANSMITTAL	Filing Date	November 12, 2003
FORM	First Named Inventor	Lilip Lau
(to be used for all correspondence after initial filing)	Art Unit	3736
	Examiner Name	Unassigned
otal Number of Pages in This Submission	Attorney Docket Number	PARCR 65989

PTO/SB/21 (08-03)

Fee Transmittal Form Drawing(s)									
Fee Transmittal Form Fee Attached Licensing-related Papers Petition Amendment / Reply After Final Petition to Convert a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Express Abandonment Request Information Disclosure Statement Co, Number of CD(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual name Signature Drawing(s) Licensing-related Papers Appeal Communication to Board of Appeals and Interferences Appeal Communication to Board of Appeals and Interferences Appeal Communication to Board of Appeals and Interferences Appeal Communication to Board of Appeals Communication to Board of Appeals Communication to Board of Appeals and Interferences Appeal Communication to Board of Appeals Communication to Board of Appeals Communication to Board of Appeals and Interferences Appeal Communication to Board of Appeals and Interferences Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Communication to Board of Appeals and Interferences Provisional Application Provis		ENCLOSURES (check all that apply)							
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or Individual name Signature Jahn Wayy		SIGNATUF	RE OF APPLICA	NT, ATTORNEY, OR AGE	NT				
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	Signature	Signature John Wagy							
	Date		01						

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

: 10/705,989

Applicant

: Lilip Lau, William M. Hartigan

Filed

: November 12, 2003

Art Unit

3736

Examiner

: Unassigned

Title

EXPANDABLE CARDIAC HARNESS FOR TREATING

HEART FAILURE

Docket No.:

: PARCR 65989

Customer No.

: 24201

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

The documents listed on the enclosed Form PTO/SB/08a have come to the attention of Applicants and are submitted to the Office under 37 C.F.R. § 1.97(c) (3) and §1.98(d).

This application is a continuation of U.S. Serial No. 09/952,116 which issued as U.S. Patent No. 6,663,558 on December 16, 2003, which is a continuation of

U.S. Serial No. 09/634,043 filed August 8, 2001. A copy of each the PCT patents are enclosed.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

Bv:

ohn S. Nagy

Registration No. 30,664

JSN:jeb

Howard Hughes Center 6060 Center Drive, Tenth Floor Los Angeles, CA 90045 Telephone: (310) 824-5555 Facsimile: (310) 824-9696

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet of

Complete if Known					
Application Number	10/705,989				
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First Named Inventor	Lilip Lau				
Art Unit	3736				
Examiner Name	Unassigned				
Attorney Docket Number	PARCR 65989				

			U.S. PA	TENT DOCUMENTS	
Examiner Cite Initials No.		Document Number Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 6,165,122	12/26/00	Alferness	
		US- 6,206,820	03/27/01	Kazi et al.	
		US- 6,370,429	04/09/02	Alferness et al.	
		US- 6,375,608	04/23/02	Alferness	
		US- 6,482,146	11/19/02	Alferness et al.	
		US- 6,537,203	03/25/03	Alferness et al.	
,		US- 6,544,168	04/08/03	Alferness	
		US- 6,547,821	04/15/03	Taylor et al.	
		US- 6,564,094	05/13/03	Alferness et al.	·
		US- 6,567,699	05/20/03	Alferness et al.	
		US- 6,569,082	05/27/03	Chin	
`		US- 6,572,533	06/03/03	Shapland et al.	
		US- 6,575,921	06/10/03	Vanden Hoek et al.	
	·	US- 6,579,226	06/17/03	Vanden Hoek et al.	
		US- 6,582,355	06/24/03	Alferness et al.	
		US- 6,587,734	07/01/03	Okuzumi	
		US- 6,645,139	11/11/03	Haindl	-
		US- 6,595,912	07/22/03	Lau et al.	
		US- 6,602,184	08/05/03	Lau et al.	
		US- 6,612,979	09/02/03	Lau et al.	

	FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No.1	Office ³	Foreign Patent Doo Number ⁴	Kind ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶	
		PCT	WO 02/19917 A1		03/14/02	Whayne et al.			
		РСТ	WO 02/059007		08/01/02	Lown et al.			
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Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (opflonal). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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			U.S. PAT	TENT DOCUMENTS	
Examiner Initials	Cite No.1	Document Number 2 Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 6,663,558	09/10/01	Lau et al.	
		US- 6,673,009	01/06/04	Vanden Hoek et al.	,
		US- 6,682,474	01/27/04	Lau et al.	
		US- 6,682,476	01/27/04	Alferness et al.	
		US- 2001/0029314	10/11/01	Alferness et al.	
		US- 2001/0047122	11/29/01	Vanden Hoek et al.	
		US- 2002/0082647	06/27/02	Alferness et al.	
		US- 2002/0091296	07/11/02	Alferness	
		US- 2002/0103511	08/01/02	Alferness et al.	
		US- 2002/0151950 ·	10/17/02	Okuzumi	
		US- 2003/0199733	10/23/03	Shapland et al.	
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	FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant		
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Examiner	Date	`
Signature	Considered	

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